



WESTERN SCOTLAND
RECHAR II COMMUNITY INITIATIVE 1994-1999
(ERDF NO.94.09.10.017 ESF NO. 94.94.29.UK8
ARINCO NO. 94.UK.16.029)

FINAL REPORT

(INCORPORATING ANNUAL REPORT 1996,
ANNUAL REPORT 1997, ANNUAL REPORT 1998
AND ANNUAL REPORT 1999)

CONTENTS

	Page No.
INTRODUCTION	1
A OPERATIONAL CONTEXT	2
• The Programme Area	2
• Socio-Economic Development	2
• Political / Legislative Developments	3
• Community Initiatives and other Structural Funds	4
• Compliance with National and Regional Policy	4
• Compliance with EU Policy	5
B PROGRAMME ADMINISTRATION AND MANAGEMENT	6
• Programme Monitoring Committee	6
• Monitoring Committee Activities and Decisions	6
• Modifications to Financial Allocations	7
• Programme Management Committee	7
• Programme Management	8
• Technical Assistance	9
• Information and Publicity	9
• Compliance with Community Policy	10
C PROGRAMME IMPLEMENTATION	11
• Financial Progress	11
• Implementation of Programme Measures	12
• Analysis of Achievements	14
• Contribution of EIB and ECSC	15
D PROGRAMME EVALUATION	16
E PROGRAMME CONTROL ACTIVITY	17

ANNEXES

ANNEX	DESCRIPTION
	PROGRAMME ADMINISTRATION AND MANAGEMENT
1.	Membership of RECHAR II Programme Committees
2.	Financial Allocations
2 i	Approved Original Indicative Financial Allocations, 7 July 1995 – Commission Decision C(95) 1722
2 ii	Approved Modification to Indicative Financial Allocations, 17 October 1997 - Commission Decision C(97) 3033
2 iii	Approved Modification to Indicative Financial Allocations, 30 July 1999 – Commission Decision C(1999)2099
	PROGRAMME IMPLEMENTATION
3.	Financial Implementation
3 i	Summary of Financial Commitments by Measure, by Year and in Total
3 ii	Summary of Payments by Measure, by Year and in Total
3 iii	Comparative Summary of Expenditure Programmed, Committed and Paid by Year and in Total
4.	Comparative Summary of Action Plan Targets - Forecast and Actual Achievement of Outputs and Impacts by Sub-programme and Measure by Year and in Total
5.	List of Approved Projects – ERDF and ESF Structural Funds Awarded and Eligible Expenditure by Measure and Beneficiary

INTRODUCTION

The European Commission Decision of 27 July 1995 (C(95) 1722) granted assistance from the European Regional Development Fund (ERDF) and the European Social Fund (ESF) under the Community Initiative RECHAR II in favour of the areas eligible under Objectives 2 and 5b in the region of Western Scotland in the United Kingdom for the period 15 November 1994 to 31 December 1997 as described in the annexes to the Decision and concerning a series of multi-annual measures.

ERDF No. 94.09.10.017
ESF No. 94.94.29.UK8
Arinco No. 94.UK.16.029

The assistance granted was a maximum of €3.037 million of which the breakdown among the Structural Funds was:

ERDF	€2.531 million
ESF	€0.506 million

The Community assistance was granted in accordance with the detailed implementing provisions which form an integral part of the Single Programming Documents for Community Structural Fund assistance in the region of Western Scotland concerned by Objective 2 in the United Kingdom.

Subsequently, Decision C(97) 3033 dated 17 October 1997 amended Decision C(95) 1722 as follows:

- The date '31 December 1997' was extended to the date '31 December 1999'.
- The maximum amount of assistance granted was increased from €3.037 million to €3.516 million and the breakdown among the Structural Funds was amended to:

ERDF	€2.930 million
ESF	€0.586 million

- together with consequent amendments to other articles of Decision C(95) 1722 and to the financial plan and text of the RECHAR II Operational Programme as annexed to Decision C(97) 3033.

Finally, Decision C(1999)2099 dated 30 July 1999 further amended Decision C(95) 1722 by implementing the provisions of Article 2(1) of Council Regulation (EC) No. 1103/97 of 17 June 1997 in respect of the introduction of the euro and further amended the breakdown of Structural Funds to:

ERDF	€2.930 million
ESF	€0.602 million

together with consequent amendment of the financial plan.

A OPERATIONAL CONTEXT

The Programme Area

1. The RECHAR II Programme area covered the Ayrshire Coalfield area of Western Scotland and into Dumfries and Galloway. It was contained mainly in the Cumnock travel to work area and covered the local authority districts of Cumnock and Doon Valley, Kyle and Carrick and Nithsdale.

Socio-economic Development

2. Socio-economic change in the RECHAR II area during the Programme period reflected the wider change experienced in the Western Scotland Objective 2 area. Review of the economic and social context carried out for the preparation of the 2000-2006 Objective 2 Single Programming Document indicated continuing structural weaknesses to be:
 - Poor levels of business creation and retention
 - High and persistent levels of unemployment and non-participation in the labour market, particularly among young people, older men and in disadvantaged communities
 - Low skill levels both among those excluded from the labour market and in the workforce
 - Poverty
 - Social exclusion.
3. Evidence from the New Earnings Survey, 1998, shows that the gap between earnings in Western Scotland and the UK average has been widening, particularly during the 1990s. Despite a higher employment growth rate in the region than Scotland as a whole, a high proportion of jobs growth was in new part-time employment centred in the service industries and masked a continuing decline in full-time employment for both men and women, particularly in manufacturing industries.
4. Social and economic change has taken place against a background of falling population. Economically motivated out-migration has been a significant factor in a much higher population decline than in Scotland as a whole and contrasts with a UK position of increasing population.
5. The socio-economic characteristics of the RECHAR II Programme area tend to be even more serious than the Western Scotland position. For example, the economic activity rate for the East Ayrshire Coalfield in 1997 was just 68.6% compared with a rather healthier 74.4% in Western Scotland.
6. Employment structure by sector showed material change during the 1990's within the Coalfield area and in comparison with Western Scotland. Within the Coalfield, primary and construction services continued to contract over the period to a much lower level than in Western Scotland. An increasing proportion of employment in manufacturing contrasts with the dominance of the services sector in Western Scotland as shown in the following table.

Employment by Sector	1991 East Ayrshire Coalfield	1997 East Ayrshire Coalfield	1997 Western Scotland
Primary & Construction	14.8%	3.8%	10%
Manufacturing	25.0%	37.2%	19.0%
Services	60.2%	58.9%	71%

7. Notwithstanding these differences, the RECHAR II Programme area of the Cumnock and Sanquhar Coalfield continues to be designated a priority for Community Economic and Social Cohesion action in the 2000-2006 Objective 2 SPDs for the Western and Southern Scotland Objective 2 regions indicating that it continues to be particularly affected by the structural weaknesses of the region.

Political and Legislative Developments

Scottish Parliament

8. The main political/legislative development during the RECHAR II Programme period was the devolution settlement for Scotland, which resulted in the opening of the Scottish Parliament in July 1999. Parliament assumed a range of legislative responsibilities devolved from the UK Parliament. The Parliament has responsibility for a wide range of government matters, notably economic development and training. European negotiations are reserved to the UK Government but the implementation of European legislation is the responsibility of the Scottish Parliament.

Scottish Executive

9. Developing and proposing policy and legislation relating to devolved matters became the responsibility of the Scottish Executive, the Ministers of the devolved Parliament. In particular, The Scottish Executive is responsible for the management of European Structural Funds and is both the Managing Authority and Paying Authority.

Economic Strategy

10. Scottish Enterprise, the government's economic development agency, continued to focus on attracting inward investment to the area, supporting existing businesses, encouraging business start-ups, skills and developing business infrastructure. No legislative or significant policy developments took place during the period of the RECHAR II Programme.

Local Government

11. Local government re-organisation took place in 1996 in Scotland. This created 32 unitary authorities from 9 Regional and District Councils. During the RECHAR II Programme period, the three new local authorities, East Ayrshire Council, South Ayrshire Council and Dumfries and Galloway Council, were developing their policies on relevant issues. There was however overall continuity of policy and approaches to regeneration issues in the area.

Social Inclusion Policy

12. The Scottish Office Programme for Partnership Initiatives were replaced in April 1999 by the Social Inclusion Partnerships (SIPs) which included the East Ayrshire (Cumnock and Sanquhar) Coalfield.

13. Following the establishment of the Scottish Parliament in July 1999, Social Inclusion policy became the responsibility of the Scottish Executive who maintained the Social Inclusion Partnership status of the area.
14. The key characteristics of the Social Inclusion Partnerships are that they:
 - focus on the most needy.
 - co-ordinate and fill gaps in service provision in the communities to promote inclusion in the labour market.
 - seek to prevent people from being excluded from the labour market.
 - promote community involvement.
15. SIPs are local umbrella regeneration bodies made up of representatives from local public sector agencies such as local authorities and health boards; together with the voluntary sector; community representatives; Further Education colleges; and the local business community. Partners are expected to contribute resources from their mainstream budgets to the work of SIPs.

Community Initiatives & other Structural Funds

16. Continuity with and integration between the RECHAR II Community Initiative and other Programmes was recognised in the RECHAR II Operational Programme. The formulation of the strategy for this Programme was based on a wide consultation with key local agencies that were also involved in the other Programmes covering the area.
17. This strategy formulation also took into account the policies and actions of the Western Scotland Objective 2 Programme and the Dumfries and Galloway Objective 5b Programme. The management of the RECHAR II Initiative was supervised by the Western Scotland Programme Monitoring Committee and carried out by that Programme Management Executive. There was therefore a high level of integration at both the policy and project level with the 1997-99 Objective 2 and Objective 5b Single Programming Documents. The ESF supported measures of the Programme were consistent with the objectives of the UK Objective 3 Programme as it affected Western Scotland and Dumfries and Galloway.

Compliance with National and Regional Policy

18. Integration with national government policies was ensured by the participation of the former Scottish Office Industry Department as Implementing Authority working with other Government Departments as appropriate. The national agencies, Scottish Enterprise, the Scottish Tourist Board and Scottish Natural Heritage were represented on the Monitoring Committee and the Scottish Enterprise Network was a member of the RECHAR II Partnership.
19. Involvement of the Regional and Local Authorities, Local Enterprise Companies and a range of key local partners ensured integration between the Programme and the strategies and policies of these bodies. The involvement of these partners in the decision-making structures of the Programme also improved co-ordination between these agencies.

Compliance with EU Policy

20. The importance of compatibility between the measures financed by the Structural Funds (or receiving assistance from the EIB), sectoral, regional, national and other Community Policies as laid down in Article 7 of Regulation No. 2052/88 (amended by Regulation 2081/93) was acknowledged through the Programme. The requirement reinforced the need for adherence in four schemes:
 - rules of competition;
 - the award of public contracts;
 - environmental protection; and
 - application of the principle of equal opportunities for men and women.

21. Although the Member State remained responsible for ensuring that the provisions of Article 7 were fulfilled, it was the responsibility of the individual project sponsor to notify the partnership that appropriate action had been adopted by way of a properly certified application form.

B. PROGRAMME ADMINISTRATION AND MANAGEMENT

Programme Monitoring Committee

1. Responsibility for the RECHAR II Community Initiative lay with the Western Scotland Objective 2 Monitoring Committee. The responsibilities of this Committee for the RECHAR II Community Initiative included:
 - Approval of detailed management arrangements and project selection criteria
 - Monitoring of compliance with Structural Fund criteria and with EU and UK Government requirements
 - Achievement of synergy with other EU funding in the region.

2. The Monitoring Committee comprised of representatives of the Objective 2 Partnership along with representatives of the Implementing Authority and European Commission. Membership of the Monitoring Committee reflected the representative structure of the wider Partnership as referred to in Article 4 and Regulation (EEC) No. 2052/88. While membership changed during the period covered by this report, the agency and sectoral representation was as follows:
 - The former Scottish Office :Chair
 - The former Scottish Office :Departmental representatives (as appropriate)
 - European Commission : DG XVI - Regional Policy
DG V - Employment and Social Affairs
 - Local Authorities 3 representatives
 - Scottish Enterprise Network : 2 representatives
 - Scottish Natural Heritage 1 representative
 - Scottish Tourist Board : 1 representative
 - Voluntary Sector 2 representatives
 - Local Development Organisations 1 representative
 - Further & Higher Education : 2 representatives
 - Scottish Environment Protection Agency: 1 representative

Membership of the Monitoring Committee at 1999 is detailed in **Annexe 1**.

Activities and Decisions

3. The Monitoring Committee considered reports on the RECHAR II Community Initiative at the under-noted meetings:
 - 9 February 1995** noted progress on time-scale for approval of Community Initiatives by the Commission.
 - 13 September 1995** note approval of RECHAR II Initiative.
 - 30 November 1995** approved the implementation arrangements for RECHAR II and endorsed the committee structures and membership.
 - 16 April 1996** noted progress on RECHAR II Initiative.
 - 22 November 1996** noted management and reporting arrangements for Community Initiatives in Scotland; and noted progress on RECHAR II Initiative.

- 18 June 1997** noted progress on RECHAR II Initiative.
- 9 October 1997** noted progress on RECHAR II Initiative.
- 6 May 1998** noted progress on RECHAR II Initiative.
- 10 September 1998** noted progress on RECHAR II Initiative.
- 18 February 1999** noted progress on RECHAR II Initiative.
agreed proposals for allocation of indexation funding to RECHAR II Initiative be circulated for approval by written procedure.
- 9 November 1999** noted progress on RECHAR II Initiative; and agreed virement proposals for RECHAR II Initiative be circulated for approval by written procedure; and noted key lessons from Community Initiatives.
4. When the Programme commitment period ended at 31 December 1999, the Programme Monitoring Committee ceased to exist and the functions relating to Programme Closure were delegated to the former Scottish Office as implementing authority. Therefore there were no further meetings after December 1999.

Modifications to Financial Allocations

5. On 10 July 1997, the Monitoring Committee agreed modifications of the financial allocations by measure as proposed by the Programme Management Committee. The modifications arose from the extension of the Programme period from 31 December 1997 to 31 December 1999. The modifications were approved by the Commission on 17 October 1997 in Decision C(97)3033.
6. The modifications involved an increased allocation to the Programme of £342,616 (€0.479 million) to be allocated proportionately across each Measure, thus:
- **Measure 1:** Environment & social and economic infrastructure £128,481
 - **Measure 2:** Business and tourism development £114,091
 - **Measure 3:** Training infrastructure £42,827
 - **Measure 4:** Human Resource Development £57,216
- The amended financial tables as approved by the Commission are included in this report as **Annexe 2ii**.
7. On 21 May 1999, the Monitoring Committee agreed an increase of €0.016 million to the financial allocation to Measure 4 - Human Resource Development arising from indexation. The modifications were approved by the Commission on 30 July 1999 in Decision C(1999)2099. The amended financial tables as approved by the Commission are included in this report as **Annexe 2iii**.

Programme Management Committee

8. In line with the implementing arrangements attached to the Commission Decision of 27 July 1995, the RECHAR II Programme Management Committee was constituted as a sub-committee of the Programme Monitoring Committee.

9. The remit of the Programme Management Committee was to oversee the administration, implementation and monitoring of the Western Scotland RECHAR II Programme. The terms of reference for the Programme Management Committee were endorsed by the Programme Monitoring Committee on 30 November 1995.
10. The main tasks of the Programme Monitoring Committee were to:
 - consider and determine project applications against the agreed selection criteria
 - ensure compliance with objectives, directives and regulations
 - monitor financial progress and project outcomes
 - make recommendations on virement
 - promote integration and co-ordination
 - ensure adequate publicity for the Structural Funds
 - report to Monitoring Committee on progress of the RECHAR II Programme on a regular basis
11. Membership of the Programme Management Committee was drawn from the principle partners in the Programme area including local authorities, local enterprise companies, the community and other relevant agencies together with the Scottish Executive and the European Commission. The Committee met on 13 occasions on:
 - 24 October 1995;
 - 22 March 1996; 4 September 1996; 21 November 1996;
 - 8 April 1997; 27 June 1997; 7 November 1997;
 - 3 April 1998; 30 June 1998; 12 November 1998;
 - April 1999; 30 June 1999; 8 September 1999.

Membership of the Management Committee is detailed in **Annexe 1**.

Programme Management

12. The responsibility for the day-to-day management and administration of the Programme was delegated by the (then) Scottish Office Development Department to a Programme Executive. The Programme Executive was set up in 1989 to manage the Strathclyde IDO under Strathclyde Regional Council.
13. Following the reorganisation of local government in Scotland in 1996, the existing Regional and District Councils were replaced by 12 new Councils. The Programme Executive became a company limited by guarantee - Strathclyde European Partnership (SEP) Limited - in March 1996. The company was formed with the support and agreement of the former Scottish Office and European Commission to ensure continuity of the management of the Structural Funds Programmes in the region. SEP Ltd was formed by the 12 new Councils, Scottish Enterprise, the five Local Enterprise Companies (LECs) and the West of Scotland Colleges Partnership. It has a Board of six elected and two co-opted directors.
14. SEP Limited was responsible for co-ordinating, managing and administering those elements of the Programme which have been delegated to it by the (then) Scottish Office and could be most effectively carried out in the region in the best interests of the partnership.

15. The specific functions of SEP Ltd in relation to the RECHAR II Programme were:
- servicing all the partnership committees;
 - advising potential applicants for ERDF and ESF of the objectives of the Programme and the scope of the measures;
 - undertaking the initial eligibility and technical appraisal of project applications, and ensuring that applications are fully completed and adequately justified to permit their appraisal by the Programme Management Committee;
 - providing advice to and facilitating the management committee in their consideration of project applications against the selection criteria and scoring systems;
 - monitoring the physical progress, performance and financial progress of all approved projects;
 - consulting the partnership as necessary on the development of new strategies;
 - promoting the RECHAR II Programme generally and ensuring that partners give adequate publicity to the Structural Funds for supporting individual projects;
 - managing the Technical Assistance budget; and
 - ensuring compliance with guidance on technical, financial and procedural matters, which may be issued by the Commission or The Scottish Executive.

Technical Assistance

16. There was no allocation of Technical Assistance for the RECHAR II Programme

Information and Publicity

17. Applicants for both ERDF and ESF were required at the application stage to specify what steps would be taken to publicise the contribution of the Structural Funds in accordance with the Commission guidelines on publicity. The appropriateness of these actions was considered as part of the project appraisal process.
18. A Programme Guidance Manual was produced and circulated to all partner organisations. This Manual included a copy of the Programme and guidance on all aspects of the Structural Funds. A section of the Manual specified the exact publicity requirements for all projects, including specifications and artwork for plaques, signs, and publicity material.
19. Quarterly payment claims for projects included information on publicity actions actually undertaken. Monitoring visits to projects included an examination of all publicity materials used by the project.
20. Specific promotion of the RECHAR II Programme was carried out in the Programme area through attendance at events, seminars and meetings with partners. Every effort was made to utilise partners own publications to promote RECHAR II and regular features appeared in SEP news on the Programme and individual projects.

Compliance with Community Policy

21. Each applicant had to confirm that their project did not contravene European Competition Policy, and that aid was within de minimis guidelines. This section of the application form was scrutinised in every case. In some cases applicants were required to provide additional supporting information to their application.
22. This was also the case for Public Procurement policy. Applicants were required to notify the scale of projects, the tender process and when appropriate, the date and number of the official journal in which the tender was published.
23. Each applicant had to confirm that the project did not contravene European Environment Policy. Applications had to include information on the positive and adverse environmental impacts of the project. These were taken into account in the project scoring process. There were adequate national safeguards in place to ensure compliance with the Community Policy on Equal Opportunities.
24. Guidance notes developed as part of the Strategic Environmental Assessment were issued to all applicants in 1997 and this became an integral part of the Operational Guidelines issued by the Programme Management Executive to prospective applicants.

C. PROGRAMME IMPLEMENTATION

Financial Progress

- Financial information is detailed in **Annexe 3**. Information is provided by year and in total and by Measure.
 - Table 3i details Commitments of Eligible Expenditure and Structural Funds against approved budget allocations.
 - Table 3ii details Payments made against Certified Eligible Expenditure.
 - Table 3iii compares Programmed Expenditure, Commitments and Payments at Programme level.

Throughout Annex 3, and in the following summaries of the financial position, all figures are presented in GBP (£s) and compared with final allocations in Commission Decision 30 July 1999 using the exchange rate current at that time. - .6544 GBP/euro.

- The final out-turn of the Programme, comparing the final allocations with total commitments and payments, is shown in the table below.

	Total Exp (£s)	ERDF (£s)	ESF (£s)
Programmed Expenditure	4,948,573	1,917,392	393,949
Approved Commitments	5,227,945	1,745,955	439,633
Certified Payments	5,082,667	1,591,682	436,540

Currency: GBP. Exchange rate: 0.6544 GBP/euro

- The financial effectiveness of the Programme can therefore be summarised as follows:
 - Of the total Programme costs, 105.6% was committed to projects and 102.7% was paid to projects.
 - Of the ERDF allocation, 91% was committed to projects and 83% was paid to projects
 - Of the ESF allocation, 111.6% was committed to projects and 110.8% was paid to projects.

Payments Received from the Commission

- Payments have been received from the Commission in respect of the following **ERDF related expenditure:**

24 October 1995	1,126,295
30 October 1995	139,205
12 January 1998	199,500
9 September 1998	879,000
Total	2,344,000

Currency: euros

ESF related expenditure:

March 2001 417,600

Currency: euros

- In the lifetime of the Programme, an average exchange rate was used to draw down ESF grant support. Applied throughout the entire Programme, this rate inevitably creates exchange rate differences when compared to the actual exchange rate at the time of Programme closure, leading to exchange rate gains or losses. Any gain or loss would be borne by the Member State.

Implementation of Programme Measures

6. Programme implementation is presented by measure. The description of each measure provides a summary of the activities for which funding was available and an analysis of the project applications considered by the Programme Management Committee.
7. Detailed information on the implementation of measures is detailed in **Annexe 4** where information is provided by measure, by year and cumulatively on Outputs and Impacts showing Programme targets against forecast and actual achievements of approved projects.
8. A summary of the key indicators of Programme level achievement derived from Annexe 4 are:

Indicator	Target	Achievement
Hectares land improved/ rehabilitated	25	31
No of SMEs assisted	100	33
Jobs created/safeguarded	100	50
New/ upgraded training facilities provided	6	2
Training places provided	200	561
Beneficiaries gaining employment/ further education (%)	50	50

9. **Annexe 5** lists approved projects by measure and final beneficiary in relation to amounts of Structural Funds grant awarded and total eligible expenditure.

Measure 1: Environment and Social & Economic Infrastructure

10. The aim of this measure was to assist the regeneration of the area and promote economic opportunities by improving the built, natural and social environment and by renovating and modernising the economic and social infrastructure.
11. ERDF was available for the following activities:
 - Environmental improvements including landscaping and building facelifts
 - Land reclamation and decontamination of land to recycle derelict sites caused by the run-down of the coal industry to economic after-use
 - Improvement of roads giving access to new activities
 - Renovation and modernisation of social and economic infrastructure in coal mining settlements.
12. ERDF project applications were considered and determined as shown in the following table:

Year	Approved	Rejected	Withdrawn	Total
1996	3		4	7
1997	1		2	3
1998	5	1		6
1999	1			1
Total	10	1	6	17

Measure 2: Business and Tourism Development

13. The aim of this measure was to expand the range of economic and employment opportunities by improving the range of commercial and business sites and premises, creating conditions conducive to attracting inward investment, assisting the expansion of the indigenous company base, increasing the rate of new firm formation and assisting the promotion of tourist activities.
14. ERDF was available for the following activities:
- Improvements to the range and quality of business premises and conference facilities
 - Creation of new businesses and improving the business survival rate
 - Development of company and higher/further education linkages
 - Raising energy conservation and environmental awareness in local SMEs
 - Assistance with the management and improving the performance of local development agencies
 - Extending the range and quality of tourist attractions and facilities
15. ERDF project applications were considered and determined as shown in the following table:

Year	Approved	Rejected	Withdrawn	Total
1996	2		2	4
1997	2		5	7
1998	3			3
1999			2	2
Total	7		9	16

Measure 3.1: Training Infrastructure

16. The aim of the measure was to improve the local educational and training infrastructure.
17. ERDF was available for the following activities:
- Creation of new vocation training facilities where there was no local provision
 - Provision of child-care facilities
 - Improvement and extension of existing further education and training infrastructure
18. ERDF project applications were considered and determined as shown in the following table:

Year	Approved	Rejected	Withdrawn	Total
1996	2			2
1997	1	1		2
1998		2		2
1999				
Total	3	3		6

Measure 3.2: Human Resource Development

19. The aim of this measure was to improve and diversify the local skills base focused on the needs of indigenous companies .
20. ESF was available for the following activities:
- Assist the local workforce to access employment opportunities
 - Provide guidance, counselling and basic skills and assertiveness training
 - Provide new skills training which lead to employment and vocational qualifications
 - Assist access to vocational training for the long-term unemployed, women returners and the under 25s
 - Provide assistance to SMEs to recruit and train local unemployed people
21. ESF project applications were considered and determined as shown in the following table:

Year	Approved	Rejected	Withdrawn	Total
1996	1	1		2
1997	1	1		2
1998	2	1	2	5
1999	2			2
Total	6	3	2	11

Analysis of Achievements

22. ERDF physical outputs relating to environmental improvement and rehabilitation of land, provision of vocational training facilities, improvement of conference and visitor facilities have been met or exceeded.
23. Some progress was made towards ERDF impacts relating to assistance to SMEs, creation of SMEs and the creation or safeguarding of jobs. The provision of business support schemes for SMEs in the RECHAR II area was largely carried out on a wider geographical area and supported through the Western Scotland Objective 2 and Dumfries & Galloway Objective 5b Programmes.
24. ESF targets on provision of training and related activity and beneficiaries progressing to further education were exceeded while substantial progress towards targets was achieved for the provision of new training schemes and beneficiaries gaining employment following participation on training schemes.
25. Detailed analysis of recorded achievements through claims and project progress reports indicates that many projects were also reporting against targets, which did not relate to the Measure under which the project was submitted. For instance, some projects in Measure 1, Environment and Social & Economic Infrastructure, recorded achievements in the creation or safeguarding jobs.
26. It is likely therefore that the recording of project achievements against measure targets as set out in Annexe 4 does not fully reflect the overall impact of the Programme on the economic and social regeneration in the RECHAR area.

27. The main impact of the RECHAR II Programme was its contribution to the local partnership approach to tackling the economic, environmental and social effects of the closures and job losses in the coal industry. The Structural Funds enabled this process to take place more effectively and more rapidly than would have been possible without Structural Funds support.
28. The particular focus of RECHAR II on the communities that had been dependent on coal mining and related industries for many years enabled the physical, psychological and social impact of the decline of coal to be tackled in a relatively short time period given the scale of the problems. The targeted approach of RECHAR II therefore:
- tackled the environment conditions in coal mining communities, Suchar, Cumnock, Sanguhar, Auchinleck and New Cumnock, and provided high quality and sustainable improvements.
 - concentrated resources on the provision of new training facilities in the coal communities for the first time and therefore enabled specific training courses to be developed for those that were made redundant through the closure of mines and coal-related industries.
 - enabled the untapped tourism potential of the area to be exploited by developing a significant industrial heritage site at Dalmellington, linking into the Burns heritage in the wider Ayrshire community and enhancing the natural landscape and environment for outdoor activities.
29. The Western Scotland Objective 2 and the Dumfries and Galloway Objective 5b Programmes also provided support for the economic and social regeneration activities that complemented this additional support for the former coal mining and related industries dependent communities. These were business start up schemes, support for local SMEs, training and related activities, environmental improvements and other infrastructure improvements, provision of tourism and further enhancement of visitor attractions in the Ayrshire Coalfield area.

Contribution of EIB and ECSC

30. There were no contributions to this Programme from the European Investment Bank or from the European Coal and Steel Community.

D. PROGRAMME EVALUATION

No evaluation of the Western Scotland RECHAR II Programme has been undertaken.

E. PROGRAMME CONTROL ACTIVITY

1. Checks to exercise financial control and detect irregularities are carried out in accordance with Article 23 (2) of Regulation No 4253/88 both by the Member State and by the European Commission. The checks ensured that Funds were being spent in accordance with the objectives of the Programme, the rules in force at that time and the principles of sound financial management. They also ensure that all expenditure certified for assistance had actually been incurred and was correct, eligible, regular and supported by original project documentation. The Member State and the European Commission exchanged all relevant information concerning the results of these checks, in accordance with Regulation (EC) No 1681/94, which concerned irregularities and the recovery of money wrongly paid. Formally, the Member State made a quarterly return to the Commission, reporting any irregularities discovered.
2. In addition, and in order to meet the European Commission statutory requirement and to conform to the Member State's National Audit Office obligations, the Programme Monitoring Committee discussed and agreed a strategy and operational policy for project monitoring in February 1998. This was based on the principle that a minimum of 5% of projects by both number and value should be monitored during the course of the Programme. The policy also included a risk assessment dimension. It required that all projects receiving a Structural Funds grant of over €1m and all new applicant organisations should be monitored within 12 months of approval of their first project.
3. The purpose of the monitoring of projects was to establish the extent to which individual projects were making progress towards the stated targets contained in the project application form, and to ascertain that financial and progress recording systems met audit standards. Monitoring visits ensured acceptable quality and standards throughout the Programme as a whole. The monitoring visit was not an audit or verification procedure and partners were advised of this.
4. The principle objectives of a monitoring visit were to
 - Establish the level of achievement on all aspects of the project's progress;
 - Identify possible problems and advise on corrective action;
 - Provide appropriate advice on administrative and financial procedures;
 - Gain a better understanding of the project and applicant bodies;
 - Identify any environmental issues that required to be addressed; and
 - Verify that the appropriate acknowledgement of EC assistance had been given

5. The focus was on expenditure and achieved targets. The aim was to obtain:
- An understanding of the method by which expenditure is recorded and declared
 - An understanding of the method used to calculate achievements towards targets
 - Evidence that comparisons are being made between targets and achievements
 - Consensus as to the project's overall performance and its likely final performance
 - Agreement on action required by the agency or the Programme Executive.
6. The projects which were subject of a Monitoring Visit constituted 12.7% of total Programme value and were:

Dossier No.	Lead Agency	Project Title	Date of Visit	Value (£s)	% of Fund
964451UK8	Ayr College	RECHAR Area Skills Training Programme	22/09/1998	85,985	22
RC/96/001	Enterprise Ayrshire	Dalmellington Environment Based Training	15/10/1998	125,001	7
RC/96/009	Dalmellington & District Cons. Trust	Dunaskin Open Air Museum	16/12/1998	110,462	6

7. Following each monitoring visit, the Programme Executive prepared a brief report with copies going to the project sponsor and the Scottish Executive, as implementing authority. Where appropriate, and within an agreed time-scale, verification of agreed action points was undertaken.
8. Every effort was made to encourage project sponsors to submit quarterly payment and progress claims. Regular reminders were given to members at Programme Management Committee and appraisal group meetings. Compliance and monitoring procedures were vigorously pursued in an effort to encourage speedier payment claims and hence a more rapid draw-down of funds from the Commission. The process also established a more accurate picture regarding commitment and expenditure levels, and provided a clear indication of progress towards targets and objectives.

**WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1994-1999
 FINAL REPORT INCORPORATING ANNUAL REPORTS 1997,1998 AND 1999**

ANNEXE 1

**MEMBERSHIP OF WESTERN SCOTLAND
 RECHAR II PROGRAMME COMMITTEES**

SPD PROGRAMME MONITORING COMMITTEE (at 1999)

Doreen Mellon (Convenor)	The Scottish Office Development Department
Vittorio Curzi	European Commission DGXVI
Patrick Amblard	European Commission DGXVI
Dirk Meganck	European Commission DGV
Stephen Weston	European Commission DGV
Nuala Boyle	Scottish Enterprise
Dave Anderson	Dunbartonshire Enterprise
Steve Inch	Glasgow City Council
Stephen Chorley	East Ayrshire Council
Alex Gardiner	North Lanarkshire Council
Ian Graham	West of Scotland Colleges' Partnership
Neil Black	Scottish Tourist Board
Joanna Douglas	Scottish Environmental Protection Agency
David Nicholl	The Wise Group
Arthur Keller	Scottish Natural Heritage
Prof. Drummond Bone	University of Glasgow
Stuart Miller	Greater Easterhouse Development Company
Julia Palmer	Scottish Council for Voluntary Organisations

RECHAR II PROGRAMME MANAGEMENT COMMITTEE

Jim Millard (Convenor)	Scottish Executive Development Department
Patrick Amblard	European Commission DGXVI
Janette Sinclair	European Commission DGV
Frank Burns	Ayr College
Shiela White	East Ayrshire Business Partnership
Stephen Chorley	East Ayrshire Council
Dave Hewet	East Ayrshire Council/ Cumnock Initiative
Karen Fraser	South Ayrshire Council
Iain Guthrie	Dumfries & Galloway Council
Tom Hyndes	Dumfries & Galloway Enterprise
Marian Sylvester	Dumfries & Galloway European Partnership
Steve Shanta	Enterprise Ayrshire
Margaret Botham	Scottish Natural Heritage
Bill McDonald	Upper Nithsdale Community Initiative

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 2 FINANCIAL ALLOCATIONS

2i Commission Decision of 27 July 1995 C(95) 1722

Table 1 Indicative Financial Allocations by Measures (1995-1997)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
TOTAL	ERDF		ESF					
1. Environment and social & economic infrastructure	ERDF 2.427	2.278	1.139	1.139		1.139	0.149	
2. Business and tourism development	ERDF 2.099	2.024	1.012	1.012		1.012	0.075	
3.1 Human resource development	ERDF 0.820	0.760	0.380	0.380		0.380	0.060	
3.1 Human resource development	ESF 1.155	1.125	0.506		0.506	0.619	0.030	
TOTAL	6.501	6.187	3.037	2.531	0.506	3.150	0.314	
	ERDF 5.346	5.062	2.531	2.531		2.531	0.284	
	ESF 1.155	1.125	0.506		0.506	0.619	0.030	

Table 2 Indicative Financial Allocations By Years (1995-1997)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
TOTAL	ERDF		ESF					
1995	0.797	0.758	0.372	0.310	0.062	0.386	0.039	
	ERDF 0.655	0.620	0.310	0.310		0.310	0.035	
	ESF 0.142	0.138	0.062		0.062	0.076	0.004	
1996	3.044	2.897	1.422	1.185	0.237	1.457	0.147	
	ERDF 2.503	2.370	1.185	1.185		1.185	0.133	
	ESF 0.541	0.527	0.237		0.237	0.290	0.014	
1997	2.660	2.532	1.243	1.036	0.207	1.289	0.128	
	ERDF 2.188	2.072	1.036	1.036		1.036	0.116	
	ESF 0.472	0.460	0.207		0.207	0.253	0.012	
TOTAL	60,510.000	60,187.000	3.037	2.531	0.506	3.150	0.314	
	ERDF 5.346	5.062	2.531	2.531		2.531	0.284	
	ESF 1.155	1.125	0.506		0.506	0.619	0.030	

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 2 FINANCIAL ALLOCATIONS

2ii Commission Decision of 17 October 1997 - C(97)3033

Table 1 Indicative Financial Allocations by Measures (1995-1999)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
		TOTAL	ERDF	ESF				
1. Environment and social & economic infrastructure	ERDF	2.806	2.638	1.319	1.319		1.319	0.168
2. Business and tourism development	ERDF	2.442	2.344	1.172	1.172		1.172	0.098
3.1 Human resource development	ERDF	0.944	0.878	0.439	0.439		0.439	0.066
3.1 Human resource development	ESF	1.333	1.302	0.586		0.586	0.716	0.031
TOTAL		7.525	7.162	3.516	2.930	0.586	3.646	0.363
	ERDF	6.192	5.860	2.930	2.930		2.930	0.332
	ESF	1.333	1.302	0.586		0.586	0.716	0.031

Table 2 Indicative Financial Allocations By Years (1995-1999)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
		TOTAL	ERDF	ESF				
1995		0.797	0.758	0.372	0.310	0.062	0.386	0.039
	ERDF	0.655	0.620	0.310	0.310		0.310	0.035
	ESF	0.142	0.138	0.062		0.062	0.076	0.004
1996		3.044	2.897	1.422	1.185	0.237	1.457	0.147
	ERDF	2.503	2.370	1.185	1.185		1.185	0.133
	ESF	0.541	0.527	0.237		0.237	0.290	0.014
1997		2.660	2.532	1.243	1.036	0.207	1.289	0.128
	ERDF	2.188	2.072	1.036	1.036		1.036	0.116
	ESF	0.472	0.460	0.207		0.207	0.253	0.012
1998		0.514	0.489	0.240	0.200	0.040	0.249	0.025
	ERDF	0.424	0.400	0.200	0.200		0.200	0.024
	ESF	0.090	0.089	0.040		0.040	0.049	0.001
1999		0.510	0.486	0.239	0.199	0.040	0.247	0.024
	ERDF	0.422	0.398	0.199	0.199		0.199	0.024
	ESF	0.088	0.088	0.040		0.040	0.048	
TOTAL		7.525	7.162	3.516	2.930	0.586	3.646	0.363
	ERDF	6.192	5.860	2.930	2.930		2.930	0.332
	ESF	1.333	1.302	0.586		0.586	0.716	0.031

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 2 FINANCIAL ALLOCATIONS

2iii Commission Decision of 5 August 1999- C(1999)2099

Table 1 Indicative Financial Allocations by Measures (1995-1999)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
		TOTAL	ERDF	ESF				
1. Environment and social & economic infrastructure	ERDF	2.806	2.638	1.319	1.319		1.319	0.168
2. Business and tourism development	ERDF	2.442	2.344	1.172	1.172		1.172	0.098
3.1 Human resource development	ERDF	0.944	0.878	0.439	0.439		0.439	0.066
3.1 Human resource development	ESF	1.370	1.338	0.602		0.602	0.736	0.032
TOTAL		7.562	7.198	3.532	2.930	0.602	3.666	0.364
	ERDF	6.192	5.860	2.930	2.930		2.930	0.332
	ESF	1.370	1.338	0.602		0.602	0.736	0.032

Table 2 Indicative Financial Allocations By Years (1995-1999)

MILLIONS OF EURO	TOTAL COST	EXPENDITURE						LOANS EIB ECSC
		PUBLIC FUNDS						
		TOTAL	COMMUNITY GRANTS			OTHER PUBLIC FUNDS	PRIVATE FUNDS	
		TOTAL	ERDF	ESF				
1995		0.797	0.758	0.372	0.310	0.062	0.386	0.039
	ERDF	0.655	0.620	0.310	0.310		0.310	0.035
	ESF	0.142	0.138	0.062		0.062	0.076	0.004
1996		3.044	2.897	1.422	1.185	0.237	1.475	0.147
	ERDF	2.503	2.370	1.185	1.185		1.185	0.133
	ESF	0.541	0.527	0.237		0.237	0.290	0.014
1997		2.660	2.532	1.243	1.036	0.207	1.289	0.128
	ERDF	2.188	2.072	1.036	1.036		1.036	0.116
	ESF	0.472	0.460	0.207		0.207	0.253	0.012
1998		0.514	0.489	0.240	0.200	0.040	0.249	0.025
	ERDF	0.424	0.400	0.200	0.200		0.200	0.024
	ESF	0.090	0.089	0.040		0.040	0.049	0.001
1999		0.547	0.522	0.255	0.199	0.056	0.267	0.025
	ERDF	0.422	0.398	0.199	0.199		0.199	0.024
	ESF	0.125	0.124	0.056		0.056	0.068	0.001
TOTAL		7.562	7.198	3.532	2.930	0.602	3.666	0.364
	ERDF	6.192	5.860	2.930	2.930		2.930	0.332
	ESF	1.370	1.338	0.602		0.602	0.736	0.032

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 3 FINANCIAL IMPLEMENTATION

3i **COMMITMENTS**
CURRENCY: GBP exchange rate: 0.6544 GBP/euro

MEASURE DESCRIPTION	ELIGIBLE EXPENDITURE		1995				1996				1997			
	FINAL BUDGET	ERDF	APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%
	1 Environment and social & economic infrastructure	1,836,246	863,154	0	0	0	0	383,590	21	170,905	20	518,000	28	259,000
2 Business and tourism development	1,598,045	766,957	0	0	0	0	334,520	21	136,322	18	846,500	53	303,874	40
3.1 Training infrastructure	617,754	287,282	0	0	0	0	775,035	125	288,471	100	98,975	16	33,998	12
TOTAL	4,052,045	1,917,392	0	0	0	0	1,493,145	37	595,698	31	1,463,475	36	596,872	31

1998				1999				TOTAL			
APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF CONTRIBUTION	%
595,925	32	272,350	32	110,000	6	40,788	5	1,607,515	88	743,043	86
574,867	36	240,247	84	0	0	0	0	1,755,887	110	680,443	89
0	0	0	0	0	0	0	0	874,010	141	322,469	112
1,170,792	29	512,597	27	110,000	3	40,788	2	4,237,412	105	1,745,955	91

MEASURE DESCRIPTION	ELIGIBLE EXPENDITURE		1995				1996				1997			
	FINAL BUDGET	ESF	APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%
	3.2 Human resource development	896,528	393,949	0	0	0	0	191,077	21	85,985	22	249,225	28	112,151
TOTAL	896,528	393,949	0	0	0	0	191,077	21	85,985	22	249,225	28	112,151	28

1998				1999				TOTAL			
APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ESF CONTRIBUTION	%
385,215	43	167,291	42	165,016	18	74,206	19	990,533	110	439,633	112
385,215	43	167,291	42	165,016	18	74,206	19	990,533	110	439,633	112

MEASURE DESCRIPTION	ELIGIBLE EXPENDITURE		1995				1996				1997			
	FINAL BUDGET	ERDF/ESF	APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%
	1 Environment and social & economic infrastructure	1,836,246	863,154	0	0	0	0	383,590	21	170,905	20	518,000	88	259,000
2 Business and tourism development	1,598,045	766,957	0	0	0	0	334,520	21	136,322	18	846,500	110	303,874	40
3.1 Training infrastructure	617,754	287,282	0	0	0	0	775,035	125	288,471	100	98,975	141	33,998	12
3.2 Human resource development	896,528	393,949	0	0	0	0	191,077	21	85,985	22	249,225	28	112,151	28
TOTAL	4,948,573	2,311,342	0	0	0	0	1,684,222	34	681,683	29	1,712,700	35	709,023	31

1998				1999				TOTAL			
APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%	APPROVED ELIGIBLE EXPENDITURE	%	ERDF/ESF CONTRIBUTION	%
595,925	32	272,350	32	110,000	6	40,788	5	1,607,515	88	743,043	86
574,867	36	240,247	84	0	0	0	0	1,755,887	110	680,443	89
0	0	0	0	0	0	0	0	874,010	141	322,469	112
385,215	43	167,291	42	165,016	18	74,206	19	990,533	110	439,633	112
1,556,007	31	679,888	29	275,016	6	114,994	5	5,227,945	106	2,185,588	95

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 3 FINANCIAL IMPLEMENTATION

3ii PAYMENTS

currency: GBP, exchange rate: 0.6544 GBP/euro

ERDF	ELIGIBLE EXPENDITURE		1995				1996				1997				1998				
	MEASURE DESCRIPTION	FINAL BUDGET	ERDF	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%
1 Environment and social & economic infrastructure	1,836,246	863,154	0	0	0	0	0	0	0	0	0	120,028	7	23,915	3	763,258	42	104,252	12
2 Business and tourism development	1,598,045	766,957	0	0	0	0	0	0	0	0	0	51,720	3	25,860	3	483,976	30	188,546	25
3.1 Training infrastructure	617,754	287,282	0	0	0	0	0	0	0	0	0	661,669	107	239,239	83	10,138	2	0	0
TOTAL	4,052,045	1,917,392	0	0	0	0	0	0	0	0	0	833,417	21	289,014	15	1,257,372	31	292,798	15

1999				2000				2001				TOTAL			
CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF PAYMENTS MADE	%
265,639	14	366,074	42	271,318	15	131,063	15	150,188	8	108,121	12	1,570,431	86	733,425	85
495,691	31	168,608	22	27,713	2	13,834	2	367,646	23	149,099	19	1,426,746	89	545,947	71
14,862	2	16,307	6	124,446	20	45,317	16	33,327	5	11,447	4	844,442	137	312,310	109
776,192	19	550,989	29	423,477	10	190,214	10	551,161	13	268,667	14	3,841,619	95	1,591,682	83

ESF

ESF	ELIGIBLE EXPENDITURE		1995				1996				1997				1998				
	MEASURE DESCRIPTION	FINAL BUDGET	ESF	CERTIFIED ELIGIBLE EXPENDITURE	%	ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ESF PAYMENTS MADE	%
3.2 Human resource development	896,528	393,949	0	0	0	0	271,332	30	85,985	22	387,572	43	112,151	28	403,200	45	164,878	42	
TOTAL	896,528	393,949	0	0	0	0	271,332	30	85,985	22	387,572	43	112,151	28	403,200	45	164,878	42	

COMBINED

COMBINED	ELIGIBLE EXPENDITURE		1995				1996				1997				1998				
	MEASURE DESCRIPTION	FINAL BUDGET	ERDF/ESF	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%
1 Environment and social & economic infrastructure	1,836,246	863,154	0	0	0	0	0	0	0	0	0	120,028	7	23,915	3	763,258	42	104,252	12
2 Business and tourism development	1,598,045	766,957	0	0	0	0	0	0	0	0	0	51,720	3	25,860	3	483,976	30	188,546	25
3.1 Training infrastructure	617,754	287,282	0	0	0	0	0	0	0	0	0	661,669	107	239,239	83	10,138	2	0	0
3.2 Human resource development	896,528	393,949	0	0	0	0	271,332	30	85,985	22	387,572	43	112,151	28	403,200	45	164,878	42	
TOTAL	4,948,573	2,311,342	0	0	0	0	271,332	5	85,985	4	1,220,989	25	401,165	17	1,660,572	34	457,676	20	

1999				2000				2001				TOTAL			
CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%	CERTIFIED ELIGIBLE EXPENDITURE	%	ERDF/ESF PAYMENTS MADE	%
265,639	14	366,074	42	271,318	15	131,063	15	150,188	8	108,121	12	1,570,431	86	733,425	85
495,691	31	168,608	22	27,713	2	13,834	2	367,646	23	149,099	19	1,426,746	89	545,947	71
14,862	2	16,307	6	124,446	20	45,317	16	33,327	5	11,447	4	844,442	137	312,310	109
178,944	20	73,526	19	0	0	0	0	0	0	0	0	1,241,048	138	436,540	111
955,136	19	624,515	27	423,477	9	190,214	8	551,161	13	268,667	14	5,082,667	103	2,028,222	88

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1995-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 3 FINANCIAL IMPLEMENTATION

3iii COMPARITIVE EXPENDITURE PROGRAMMED, COMMITMENTS AND PAYMENTS

currency: GBP. exchange rate: 0.6544 GBP/euro

	1995			1996			1997			1998		
	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF
EXPENDITURE PROGRAMMED	521,557	202,864	40,573	1,991,994	775,464	155,093	1,740,704	677,958	135,461	336,362	130,880	26,176
COMMITMENTS	0	0	0	1,684,222	595,698	85,985	1,712,700	596,872	112,151	1,556,007	512,597	167,291
PAYMENTS	0	0	0	271,332	0	85,985	1,220,989	289,014	112,151	1,660,572	292,798	164,878

1999			2000			2001			2002			TOTAL		
TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF	TOTAL EXP.	ERDF	ESF
357,957	130,226	36,646	0	0	0	0	0	0	0	0	0	4,948,573	1,917,392	393,949
275,016	40,788	74,206	0	0	0	0	0	0	0	0	0	5,227,945	1,745,955	439,633
955,136	550,989	73,526	423,477	190,214	0	130,483	104,507	0	420,678	164,160	0	5,082,667	1,591,682	436,540

WESTERN SCOTLAND RECHAR II COMMUNITY INITIATIVE 1994-1999

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 4 PROJECT TARGETS AND OUTPUTS

MEASURE 1

ENVIRONMENT AND SOCIAL & ECONOMIC INFRASTRUCTURE

Outputs	Target	1996 Forecast	1996 Actual	1997 Forecast	1997 Actual	1998 Forecast	1998 Actual	1999 Forecast	1999 Actual	2000 Forecast	2000 Actual	2001 Forecast	2001 Actual	Total Forecast	Total Actual
Landscape/ environmental improvement schemes	5	1	0	1	1	2	1	3	3	1	1	0	0	8	6
Infrastructure schemes	2	0	0	0	0	1	0	0	1	0	0	0	0	1	1
Improve/ rehabilitate land (hectares)	25	0	0	0	0	49.46	0.76	0.6	30.2	0	0.2	0	0	50	31
Improve/ provide community facilities	3	0	0	0	0	1	1	0	0	0	0	0	0	1	1
upgrade industrial sites	2	0	0	0	0	1	0	0	0	0	0	1	1	2	1

MEASURE 2

BUSINESS AND TOURISM DEVELOPMENT

Outputs	Target	1996 Forecast	1996 Actual	1997 Forecast	1997 Actual	1998 Forecast	1998 Actual	1999 Forecast	1999 Actual	2000 Forecast	2000 Actual	2001 Forecast	2001 Actual	Total Forecast	Total Actual
New workspace units	10	0	0	0	0	2	0	0	2	0	0	6	0	8	2
Improve business conference facilities	2	0	0	0	0	0	0	0	0	1	0	1	2	2	2
Advice/ assistance to SMEs	100	0	0	0	0	120	0	0	0	70	18	18	15	208	33
Create new SMEs	25	0	0	0	5	8	0	0	0	0	0	3	1	11	6
Create/ safeguard jobs	100	18	0	15	9	27.5	21	5	1	50	4	22	15.5	137	50
Improve visitor facilities	2	1	0	0	1	3	0	4	1	0	2	0	0	7	3

MEASURE 3.1

HUMAN RESOURCE DEVELOPMENT (ERDF)

Outputs	Target	1996 Forecast	1996 Actual	1997 Forecast	1997 Actual	1998 Forecast	1998 Actual	1999 Forecast	1999 Actual	2000 Forecast	2000 Actual	2001 Forecast	2001 Actual	Total Forecast	Total Actual
Provide / upgrade new training facilities	6	1	0	0	0	0	0	1	1	0	0	0	1	2	2
New training courses	30	3	0	0	3	1	1	0	0	1	0	0	0	5	4
Childcare provision for trainees (%)	10	18	0	0	0	0	0	0	0	0	0	0	0	18	0

MEASURE 3.2

HUMAN RESOURCE DEVELOPMENT (ESF)

Outputs	Target	1996 Forecast	1996 Actual	1997 Forecast	1997 Actual	1998 Forecast	1998 Actual	1999 Forecast	1999 Actual	2000 Forecast	2000 Actual	2001 Forecast	2001 Actual	Total Forecast	Total Actual
Provide new vocational training schemes	8	1	1	2	2	2	2	2	2	0	0	0	0	7	7
Provide training places	200	108	130	229	255	144	132	50	44	0	0	0	0	531	561
Beneficiaries gaining employment (%)	40	11	17	12	18	40	15	62	20	0	0	0	0	31	18
Beneficiaries entering further education (%)	10	15	27	20	22	17	33	32	41	0	0	0	0	21	31

FINAL REPORT INCORPORATING ANNUAL REPORTS 1996, 1997, 1998, 1999.

ANNEXE 5

APPROVED PROJECTS/ BENEFICIARIES

MEASURE 1

AGENCY	PROJECT NO	TITLE	APPROVED ELIG.EXPEND.£	APPROVED ERDF GRANT £	CERTIFIED EXPENDITURE	ERDF PAYMENTS £
Dumfries & Galloway Council	RC/96/003	Sanquhar Town Centre Visitor Parking Facilities	98,590	45,904	93,764	43,615
Dumfries & Galloway Council	RC/97/018	Kirkconnel Coal Fired Gas Works Reclamation	518,000	259,000	518,000	259,000
Dumfries & Galloway Council	RC/98/103	Former Kirkconnel School Site Renovation - Phase 1	80,000	40,000	79,999	40,000
East Ayrshire Council	RC/98/104	Cumnock Area Native & Community Woodlands	121,925	35,358	121,925	35,358
East Ayrshire Council	RC/98/105	New Cumnock Walkways and Cycleways	40,000	19,992	39,999	19,992
East Ayrshire Council	RC/98/106	Dalmellington Environmental Based Training Phase 2	294,000	147,000	270,597	135,297
Enterprise Ayrshire	RC/96/001	Dalmellington Environmental Based Training Programme Ph1	285,000	125,001	241,414	105,885
South Ayrshire Council	RC/98/102	Annbank, Mossblown and Tarbolton Environmental Imp.	60,000	30,000	60,000	30,000
South Ayrshire Council	RC/99/108	Annbank, Mossblown and Tarbolton Env.Improv. Phase 2	110,000	40,788	62,951	23,342
Upper Nithsdale Community Initiative	RC/96/012	Sanquhar Heritage Trail	117,998	49,995	81,872	40,936
		TOTAL	1,725,513	793,038	1,570,521	733,425

MEASURE 2

Ayr College	RC/97/200	Environmental Support Service	204,000	102,000	165,682	82,840
Dalmellington and District Conservation Trust	RC/96/009	Dunaskin Open Air Industrial Museum	282,800	110,462	242,110	95,181
East Ayrshire Council	RC/98/203	Dalmellington:Refurbishment Bus. Technology Centre	471,867	188,747	221,734	88,693
East Ayrshire Council	RC/97/017	Access, Site Servicing and Provision of Ind. Units	642,500	201,874	642,500	201,873
East Ayrshire Council	RC/98/204	Conduit Development Project	73,000	36,500	73,000	36,500
East Ayrshire Council	RC/98/205	Dalmellington Business Technology Devpt. Centre	30,000	15,000	30,000	15,000
Wanlockhead Museum Trust	RC/96/006	Development of Lochnell Tourist Mine	51,720	25,860	51,720	25,860
		TOTAL	1,755,887	680,443	1,426,746	545,947

MEASURE 3.1

Ayr College	RC/96/007	The Ayrshire Textile Technology Training Centre	752,631	277,269	752,631	277,268
East Ayrshire Council	RC/96/008	Dalmellington IT Project	22,404	11,202	22,404	11,202
South Ayrshire Council	RC/97/300	Rural Cybercentres	98,975	33,998	69,407	23,840
		TOTAL	874,010	322,469	844,442	312,310

European Social Fund

MEASURE 3.2

AGENCY	PROJECT NO	TITLE	APPROVED ELIG.EXPEND.£	APPROVED ESF GRANT £	ESF PAYMENTS £
Ayr College	964451UK8	Rechar Area Skills Training Programme	191,077	85,985	85,985
Ayr College	974455UK8	RECHAR II Area Skills Training Programme	249,225	112,151	112,151
Ayr College	984455UK8	Rechar II Area Skills Training Programme	264,097	118,844	116,431
Ayr College	994455UK8	RECHAR II AREA SKILLS TRAINING PROGRAMME	85,310	38,339	38,339
East Ayrshire Council	984457UK8	Cumnock Area Native & Community Woodland Programme	121,118	48,447	48,447
East Ayrshire Council	994457UK8	Cumnock Area Native & Community Woodland Programme	79,706	35,867	35,188
		TOTAL	990,533	439,633	436,541